



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sundaram Ravikumar

Group Art Unit:

Serial No.: 10/692,026

Examiner:

Filed: October 23, 2003

Attorney Docket: RAV-010

Title: Method and Apparatus For Tubal Occlusion

I hereby certify that this correspondence is being deposited on this day with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

David P. Gordon

David P. Gordon, Reg. No. 29,996

Feb 12, 2004

Date

Honorable Commissioner for Patents
And Trademarks
Alexandria, VA 22313-1450

Sir:

SUBMITTAL OF DOCUMENTS PURSUANT TO DUTY OF DISCLOSURE

Pursuant to applicant's duty of disclosure 37 CFR Section 1.56, enclosed is a completed form PTOL-1449 as well as copies of the cited documents that relate to the above-referenced patent application. Since this document submittal is being presented prior to the first examination on the merits, no fee is due herewith.

An enclosed article entitled "Occlusion of Intercostal and Lumbar Aortic Branches Using Plastic Plugs," describes a method by which backbleeding can be controlled rapidly and safely by using small polyurethane plugs.

The listed documents are brought to the Examiner's attention because they are known to the applicant and/or the applicant's attorney and may be considered by the Examiner to be material to his/her examination. This listing should not be construed as representation that a search has been made or that no better art exists. No inference should be made that the documents are in fact material merely because they are referenced herein. Moreover, no representation is made that the brief descriptions of the references herein necessarily describe the most material aspects of the references.

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Further, by this listing, the applicant is not making any admission regarding the relative dates of the invention and listed disclosures.

Respectfully submitted,

A handwritten signature in black ink that reads "David P. Gordon". The signature is written in a cursive, flowing style.

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INFORMATION DISCLOSURE CITATION

PAGE 1 OF 2

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US PATENT DOCUMENTS

Examiner Initials		Document No.	Date	Name	Class	Sub-class	Filing date if approp.
	A	6,579,311 B1	6/17/03	Makower	623	1.23	
	B	6,458,092 B1	10/01/02	Gambale et al.	604	22	
	C	6,315,787 B1	11/13/01	Tsugita et al.	606	213	
	D	6,179,857 B1	01/30/01	Diaz et al.	606	194	
	E	6,248,112 B1	06/19/01	Gambale et al.	606	108	
	F	6,010,517	06/04/00	Baccaro	606	151	
	G	5,944,750	08/31/99	Tanner et al.	623	1	
	H	5,928,266	07/27/99	Kontos	606	213	
	I	5,925,060	07/20/99	Forber	606	191	
	J	5,879,366	03/09/99	Shaw et al.	606	213	
	K	5,826,587	10/27/98	Berenstein et al.	128	898	

FOREIGN PATENT DOCUMENTS

Examiner Initials		Document No.	Date	Country	Class	Sub-class	<u>Translation</u> Yes No	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

INFORMATION DISCLOSURE CITATION PAGE 2 OF 2				Atty Docket No. RAV-010		Serial No. 10/692,026		
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US PATENT DOCUMENTS								
Examiner Initials		Document No.	Date	Name	Class	Sub- class	Filing date if approp.	
	A	5,755,779	05/26/98	Horiguchi	623	1		
	B	5,733,329	03/31/98	Wallace et al.	623	1		
	C	5,728,133	03/17/98	Kontos	606	213		
	D	5,725,551	03/10/98	Myers et al.	606	213		
	E	5,656,036	08/12/97	Palmaz	623	12		
	F	5,425,757	06/20/95	Tiefenbrun et al.	623	2		
	G	5,042,161	08/27/91	Hodge	33	501		
	H	3,626,947	12/14/71	Sparks	128	334		
	I	5,645,565	07/08/97	Rudd et al.	606	213		
	J	4,852,568	08/01/89	Kensey	128	325		
	K	3,958,557	05/25/76	Sharp et al.	128	1 R		
FOREIGN PATENT DOCUMENTS								
Examiner Initials		Document No.	Date	Country	Class	Sub- class	<u>Translation</u> Yes No	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
		ARTICLE: "OCCLUSION OF INTERCOSTAL AND LUMBAR AORTIC BRANCHES USING						
		PLASTIC PLUGS" BY HANS G. BORST, MD. DATED JULY, 1987						
EXAMINER				DATE CONSIDERED				